

Form 603

Corporations Act 2001

Section 671B

Notice of initial substantial holder

To Company Name/Scheme ALTERITY THERAPEUTICS LIMITED

ACN/ARSN ACN 080 699 065

1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder became a substantial holder on 11-Nov-2020

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	68,047,174	68,047,174	5.02%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Credit Suisse AG, Dublin Branch	Holder of securities subject to an obligation to return under a securities lending agreement	20,896,482 Common Stock
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Voting rights and right to dispose	47,150,691 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Voting rights and right to dispose	1 Common Stock

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Credit Suisse AG, Dublin Branch	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse AG, Dublin Branch	20,896,482 Common Stock
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	47,150,691 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	1 Common Stock

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
-----------------------------	---------------------	-------------------	--------------------------------

		Cash	Non-cash	
See Annexure "A"				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Credit Suisse AG, Dublin Branch	Related Body Corporate
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Related Body Corporate
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Related Body Corporate

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse AG, Dublin Branch	Kilmore House, Park lane, Spencer Dock, Dublin 1, Ireland
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square, London, E14 4QJ

Signature

print name Winston Loke

capacity Authorised Signatory

sign here

date 13-Nov-2020



Annexure "A"

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Credit Suisse Equities (Australia) Limited	13-Jul-20	29,190.0 AUD		-780,000 Common Stock
Credit Suisse Equities (Australia) Limited	13-Jul-20	1,000.0 AUD		25,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Jul-20	11,772.64 AUD		-330,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Jul-20	3,360.0 AUD		-90,000 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jul-20	4,900.0 AUD		-140,000 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jul-20	1,735.0 AUD		45,000 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jul-20	925.0 AUD		-25,000 Common Stock
Credit Suisse Equities (Australia) Limited	16-Jul-20	1,140.0 AUD		30,000 Common Stock
Credit Suisse Equities (Australia) Limited	16-Jul-20	1,520.0 AUD		40,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Jul-20	1,482.0 AUD		-39,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Jul-20	1,480.0 AUD		40,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Jul-20	360.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Jul-20	1,520.0 AUD		-40,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Jul-20	41,830.0 AUD		1,115,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Jul-20	7,410.0 AUD		190,000 Common Stock
Credit Suisse Equities (Australia) Limited	22-Jul-20	1,480.0 AUD		-40,000 Common Stock
Credit Suisse Equities (Australia) Limited	22-Jul-20	7,710.0 AUD		210,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Jul-20	555.0 AUD		15,000 Common Stock

Credit Suisse Equities (Australia) Limited	23-Jul-20	2,220.0 AUD		-60,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Jul-20	18,742.0 AUD		-504,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jul-20	1,620.0 AUD		45,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jul-20	4,810.0 AUD		-130,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jul-20	27,912.35 AUD		-771,000 Common Stock
Credit Suisse Equities (Australia) Limited	27-Jul-20	2,220.0 AUD		-60,000 Common Stock
Credit Suisse Equities (Australia) Limited	29-Jul-20	900.0 AUD		25,000 Common Stock
Credit Suisse Equities (Australia) Limited	29-Jul-20	1,620.0 AUD		-45,000 Common Stock
Credit Suisse Equities (Australia) Limited	31-Jul-20	30,820.97 AUD		850,000 Common Stock
Credit Suisse Equities (Australia) Limited	3-Aug-20	900.0 AUD		-25,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Aug-20	105,134.61 AUD		2,059,184 Common Stock
Credit Suisse Equities (Australia) Limited	4-Aug-20	292,196.16 AUD		5,544,171 Common Stock
Credit Suisse Equities (Australia) Limited	5-Aug-20	660.0 AUD		-20,000 Common Stock
Credit Suisse Equities (Australia) Limited	5-Aug-20	146,124.78 AUD		2,256,825 Common Stock
Credit Suisse Equities (Australia) Limited	5-Aug-20	153,201.17 AUD		2,287,808 Common Stock
Credit Suisse Equities (Australia) Limited	5-Aug-20	28,200.0 AUD		-850,000 Common Stock
Credit Suisse Equities (Australia) Limited	6-Aug-20	91,030.52 AUD		-1,746,184 Common Stock
Credit Suisse Equities (Australia) Limited	6-Aug-20	45,016.0 AUD		772,000 Common Stock
Credit Suisse Equities (Australia) Limited	6-Aug-20	15,141.50 AUD		255,500 Common Stock
Credit Suisse Equities (Australia) Limited	6-Aug-20	273,697.28 AUD		-5,268,171 Common Stock
Credit Suisse Equities (Australia) Limited	7-Aug-20	136,837.28 AUD		-2,104,825 Common Stock
Credit Suisse Equities (Australia) Limited	7-Aug-20	1,830.0 AUD		35,000 Common Stock
Credit Suisse Equities (Australia) Limited	7-Aug-20	12,226.0 AUD		233,500 Common Stock
Credit Suisse Equities (Australia) Limited	7-Aug-20	173,634.31 AUD		-2,631,623 Common Stock

Credit Suisse Equities (Australia) Limited	10-Aug-20	17,784.0 AUD		311,000 Common Stock
Credit Suisse Equities (Australia) Limited	10-Aug-20	28,272.16 AUD		482,692 Common Stock
Credit Suisse Equities (Australia) Limited	10-Aug-20	65,406.91 AUD		-1,100,000 Common Stock
Credit Suisse Equities (Australia) Limited	10-Aug-20	2,950.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	10-Aug-20	7,276.50 AUD		116,500 Common Stock
Credit Suisse Equities (Australia) Limited	11-Aug-20	7,734.0 AUD		126,000 Common Stock
Credit Suisse Equities (Australia) Limited	11-Aug-20	1,413.62 AUD		-27,185 Common Stock
Credit Suisse Equities (Australia) Limited	11-Aug-20	5,566.94 AUD		88,999 Common Stock
Credit Suisse Equities (Australia) Limited	11-Aug-20	12,430.50 AUD		-230,500 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	7,848.0 AUD		132,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	18,841.04 AUD		-292,964 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	26,596.0 AUD		-463,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	14,167.0 AUD		-224,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	3,603.54 AUD		61,077 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	600.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	13-Aug-20	639.94 AUD		-9,999 Common Stock
Credit Suisse Equities (Australia) Limited	13-Aug-20	13,367.0 AUD		231,000 Common Stock
Credit Suisse Equities (Australia) Limited	13-Aug-20	17,937.63 AUD		-285,874 Common Stock
Credit Suisse Equities (Australia) Limited	13-Aug-20	4,700.0 AUD		-75,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Aug-20	8,439.0 AUD		-141,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Aug-20	7,582.0 AUD		-129,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Aug-20	4,680.0 AUD		80,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Aug-20	8,992.79 AUD		148,987 Common Stock
Credit Suisse Equities (Australia) Limited	17-Aug-20	9,285.54 AUD		-154,759 Common Stock

Credit Suisse Equities (Australia) Limited	17-Aug-20	34,100.0 AUD		565,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Aug-20	8,528.0 AUD		-142,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Aug-20	5,518.0 AUD		89,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Aug-20	25,490.0 AUD		420,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Aug-20	12,238.0 AUD		-211,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Aug-20	5,669.07 AUD		-96,086 Common Stock
Credit Suisse Equities (Australia) Limited	18-Aug-20	7,830.0 AUD		130,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Aug-20	8,079.41 AUD		132,090 Common Stock
Credit Suisse Equities (Australia) Limited	19-Aug-20	9,050.0 AUD		150,000 Common Stock
Credit Suisse Equities (Australia) Limited	19-Aug-20	540.0 AUD		-9,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Aug-20	16,000.0 AUD		-265,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Aug-20	9,630.0 AUD		-160,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Aug-20	580.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Aug-20	22,837.55 AUD		-378,211 Common Stock
Credit Suisse Equities (Australia) Limited	20-Aug-20	17,695.31 AUD		300,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Aug-20	28,165.0 AUD		-465,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Aug-20	26,725.0 AUD		450,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Aug-20	19,673.37 AUD		-318,976 Common Stock
Credit Suisse Equities (Australia) Limited	24-Aug-20	2,960.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Aug-20	15,595.30 AUD		-263,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Aug-20	4,350.0 AUD		75,000 Common Stock
Credit Suisse Equities (Australia) Limited	25-Aug-20	2,950.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	26-Aug-20	2,558.84 AUD		-45,000 Common Stock
Credit Suisse Equities (Australia) Limited	26-Aug-20	5,700.0 AUD		100,000 Common Stock

Credit Suisse Equities (Australia) Limited	26-Aug-20	21,225.0 AUD		-375,000 Common Stock
Credit Suisse Equities (Australia) Limited	27-Aug-20	2,875.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	27-Aug-20	3,085.88 AUD		55,105 Common Stock
Credit Suisse Equities (Australia) Limited	28-Aug-20	5,190.69 AUD		91,942 Common Stock
Credit Suisse Equities (Australia) Limited	28-Aug-20	1,093.68 AUD		19,885 Common Stock
Credit Suisse Equities (Australia) Limited	28-Aug-20	5,800.0 AUD		-100,000 Common Stock
Credit Suisse Equities (Australia) Limited	28-Aug-20	2,850.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	31-Aug-20	5,877.0 AUD		109,000 Common Stock
Credit Suisse Equities (Australia) Limited	31-Aug-20	1,242.0 AUD		23,000 Common Stock
Credit Suisse Equities (Australia) Limited	1-Sep-20	2,120.0 AUD		40,000 Common Stock
Credit Suisse Equities (Australia) Limited	1-Sep-20	11,052.17 AUD		-195,727 Common Stock
Credit Suisse Equities (Australia) Limited	1-Sep-20	2,700.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Sep-20	6,640.0 AUD		130,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Sep-20	2,080.0 AUD		40,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Sep-20	4,870.0 AUD		95,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Sep-20	8,200.0 AUD		-150,000 Common Stock
Credit Suisse Equities (Australia) Limited	3-Sep-20	7,330.33 AUD		141,845 Common Stock
Credit Suisse Equities (Australia) Limited	3-Sep-20	4,445.20 AUD		88,342 Common Stock
Credit Suisse Equities (Australia) Limited	3-Sep-20	3,186.0 AUD		-59,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Sep-20	3,216.82 AUD		67,017 Common Stock
Credit Suisse Equities (Australia) Limited	4-Sep-20	1,584.0 AUD		-33,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Sep-20	5,307.41 AUD		-104,263 Common Stock
Credit Suisse Equities (Australia) Limited	7-Sep-20	1,012.85 AUD		21,550 Common Stock
Credit Suisse Equities (Australia) Limited	7-Sep-20	3,039.18 AUD		62,024 Common Stock

Credit Suisse Equities (Australia) Limited	7-Sep-20	1,040.0 AUD		-20,000 Common Stock
Credit Suisse Equities (Australia) Limited	7-Sep-20	3,126.0 AUD		-60,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Sep-20	4,817.47 AUD		99,114 Common Stock
Credit Suisse Equities (Australia) Limited	8-Sep-20	7,641.62 AUD		154,438 Common Stock
Credit Suisse Equities (Australia) Limited	8-Sep-20	539.0 AUD		11,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Sep-20	3,920.0 AUD		-80,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Sep-20	3,331.70 AUD		-67,254 Common Stock
Credit Suisse Equities (Australia) Limited	9-Sep-20	2,109.26 AUD		-42,638 Common Stock
Credit Suisse Equities (Australia) Limited	10-Sep-20	3,382.51 AUD		70,762 Common Stock
Credit Suisse Equities (Australia) Limited	10-Sep-20	5,854.26 AUD		126,636 Common Stock
Credit Suisse Equities (Australia) Limited	11-Sep-20	4,796.59 AUD		-99,114 Common Stock
Credit Suisse Equities (Australia) Limited	11-Sep-20	2,351.38 AUD		51,117 Common Stock
Credit Suisse Equities (Australia) Limited	14-Sep-20	2,205.0 AUD		49,000 Common Stock
Credit Suisse Equities (Australia) Limited	15-Sep-20	4,953.65 AUD		-106,076 Common Stock
Credit Suisse Equities (Australia) Limited	15-Sep-20	2,590.78 AUD		-55,123 Common Stock
Credit Suisse Equities (Australia) Limited	16-Sep-20	960.0 AUD		20,000 Common Stock
Credit Suisse Equities (Australia) Limited	16-Sep-20	6,115.59 AUD		130,347 Common Stock
Credit Suisse Equities (Australia) Limited	16-Sep-20	8,657.39 AUD		170,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Sep-20	4,826.22 AUD		-105,983 Common Stock
Credit Suisse Equities (Australia) Limited	17-Sep-20	11,629.75 AUD		254,979 Common Stock
Credit Suisse Equities (Australia) Limited	18-Sep-20	3,400.0 AUD		-70,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Sep-20	1,960.0 AUD		-40,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Sep-20	5,339.36 AUD		103,422 Common Stock
Credit Suisse Equities (Australia) Limited	18-Sep-20	13,077.0 AUD		250,500 Common Stock

Credit Suisse Equities (Australia) Limited	18-Sep-20	21,915.79 AUD		416,947 Common Stock
Credit Suisse Equities (Australia) Limited	21-Sep-20	3,585.41 AUD		-74,696 Common Stock
Credit Suisse Equities (Australia) Limited	21-Sep-20	517.0 AUD		-11,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Sep-20	4,985.82 AUD		-104,288 Common Stock
Credit Suisse Equities (Australia) Limited	21-Sep-20	10,768.83 AUD		215,540 Common Stock
Credit Suisse Equities (Australia) Limited	22-Sep-20	22,584.83 AUD		-439,152 Common Stock
Credit Suisse Equities (Australia) Limited	22-Sep-20	6,377.94 AUD		-123,422 Common Stock
Credit Suisse Equities (Australia) Limited	22-Sep-20	3,063.26 AUD		60,064 Common Stock
Credit Suisse Equities (Australia) Limited	22-Sep-20	510.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Sep-20	4,427.0 AUD		-84,500 Common Stock
Credit Suisse Equities (Australia) Limited	23-Sep-20	1,116.70 AUD		-21,850 Common Stock
Credit Suisse Equities (Australia) Limited	23-Sep-20	188.85 AUD		3,777 Common Stock
Credit Suisse Equities (Australia) Limited	23-Sep-20	2,141.55 AUD		42,831 Common Stock
Credit Suisse Equities (Australia) Limited	24-Sep-20	2,550.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Sep-20	3,761.51 AUD		-73,755 Common Stock
Credit Suisse Equities (Australia) Limited	24-Sep-20	5,954.20 AUD		-119,084 Common Stock
Credit Suisse Equities (Australia) Limited	24-Sep-20	8,158.85 AUD		169,976 Common Stock
Credit Suisse Equities (Australia) Limited	25-Sep-20	3,800.0 AUD		-76,000 Common Stock
Credit Suisse Equities (Australia) Limited	25-Sep-20	6,749.07 AUD		142,927 Common Stock
Credit Suisse Equities (Australia) Limited	28-Sep-20	500.0 AUD		-10,000 Common Stock
Credit Suisse Equities (Australia) Limited	28-Sep-20	3,021.22 AUD		-62,942 Common Stock
Credit Suisse Equities (Australia) Limited	29-Sep-20	2,281.80 AUD		-48,549 Common Stock
Credit Suisse Equities (Australia) Limited	29-Sep-20	5,912.40 AUD		-123,175 Common Stock
Credit Suisse Equities (Australia) Limited	30-Sep-20	4,054.26 AUD		-82,740 Common Stock

Credit Suisse Equities (Australia) Limited	30-Sep-20	40,269.17 AUD		793,367 Common Stock
Credit Suisse Equities (Australia) Limited	1-Oct-20	1,685.70 AUD		-34,402 Common Stock
Credit Suisse Equities (Australia) Limited	1-Oct-20	240.0 AUD		5,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Oct-20	5,000.0 AUD		-100,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Oct-20	980.72 AUD		-18,860 Common Stock
Credit Suisse Equities (Australia) Limited	2-Oct-20	4,978.76 AUD		105,931 Common Stock
Credit Suisse Equities (Australia) Limited	5-Oct-20	22,050.0 AUD		-450,000 Common Stock
Credit Suisse Equities (Australia) Limited	5-Oct-20	5,275.66 AUD		112,248 Common Stock
Credit Suisse Equities (Australia) Limited	6-Oct-20	16,481.62 AUD		-343,367 Common Stock
Credit Suisse Equities (Australia) Limited	6-Oct-20	9,108.33 AUD		-192,071 Common Stock
Credit Suisse Equities (Australia) Limited	6-Oct-20	1,044.25 AUD		20,885 Common Stock
Credit Suisse Equities (Australia) Limited	6-Oct-20	30,362.07 AUD		614,532 Common Stock
Credit Suisse Equities (Australia) Limited	7-Oct-20	1,599.60 AUD		-33,325 Common Stock
Credit Suisse Equities (Australia) Limited	7-Oct-20	623.87 AUD		12,732 Common Stock
Credit Suisse Equities (Australia) Limited	7-Oct-20	7,719.43 AUD		159,770 Common Stock
Credit Suisse Equities (Australia) Limited	8-Oct-20	13,635.76 AUD		-274,818 Common Stock
Credit Suisse Equities (Australia) Limited	8-Oct-20	5,434.23 AUD		-111,242 Common Stock
Credit Suisse Equities (Australia) Limited	8-Oct-20	16,914.22 AUD		346,963 Common Stock
Credit Suisse Equities (Australia) Limited	9-Oct-20	14,500.0 AUD		-290,000 Common Stock
Credit Suisse Equities (Australia) Limited	9-Oct-20	35,130.0 AUD		692,000 Common Stock
Credit Suisse Equities (Australia) Limited	9-Oct-20	1,672.21 AUD		33,445 Common Stock
Credit Suisse Equities (Australia) Limited	13-Oct-20	7,806.19 AUD		-154,263 Common Stock
Credit Suisse Equities (Australia) Limited	16-Oct-20	5,120.0 AUD		100,000 Common Stock
Credit Suisse Equities (Australia) Limited	16-Oct-20	38,247.27 AUD		750,005 Common Stock

Credit Suisse Equities (Australia) Limited	19-Oct-20	11,500.0 AUD		250,000 Common Stock
Credit Suisse Equities (Australia) Limited	19-Oct-20	2,700.23 AUD		58,243 Common Stock
Credit Suisse Equities (Australia) Limited	20-Oct-20	5,765.39 AUD		-122,148 Common Stock
Credit Suisse Equities (Australia) Limited	20-Oct-20	82,185.96 AUD		-1,597,005 Common Stock
Credit Suisse Equities (Australia) Limited	20-Oct-20	234.68 AUD		-4,972 Common Stock
Credit Suisse Equities (Australia) Limited	20-Oct-20	4,463.23 AUD		-92,984 Common Stock
Credit Suisse Equities (Australia) Limited	20-Oct-20	2,560.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Oct-20	6,483.38 AUD		137,619 Common Stock
Credit Suisse Equities (Australia) Limited	20-Oct-20	4,688.82 AUD		100,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Oct-20	3,231.09 AUD		71,504 Common Stock
Credit Suisse Equities (Australia) Limited	22-Oct-20	10,300.0 AUD		250,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Oct-20	.0 AUD		38,027,876 Common Stock
Credit Suisse Equities (Australia) Limited	23-Oct-20	370.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Oct-20	68,400.0 AUD		1,800,000 Common Stock
Credit Suisse Equities (Australia) Limited	27-Oct-20	58,500.0 AUD		-1,550,000 Common Stock
Credit Suisse Equities (Australia) Limited	27-Oct-20	5,495.0 AUD		157,000 Common Stock
Credit Suisse Equities (Australia) Limited	28-Oct-20	14,886.51 AUD		-402,338 Common Stock
Credit Suisse Equities (Australia) Limited	28-Oct-20	576.0 AUD		16,000 Common Stock
Credit Suisse Equities (Australia) Limited	28-Oct-20	820.23 AUD		26,459 Common Stock
Credit Suisse Equities (Australia) Limited	28-Oct-20	3,100.0 AUD		100,000 Common Stock
Credit Suisse Equities (Australia) Limited	29-Oct-20	45,950.0 AUD		-1,350,000 Common Stock
Credit Suisse Equities (Australia) Limited	29-Oct-20	41,624.28 AUD		-1,179,206 Common Stock
Credit Suisse Equities (Australia) Limited	29-Oct-20	9,824.42 AUD		337,049 Common Stock
Credit Suisse Equities (Australia) Limited	29-Oct-20	480.0 AUD		16,000 Common Stock

Credit Suisse Equities (Australia) Limited	30-Oct-20	33,544.93 AUD		-1,038,460 Common Stock
Credit Suisse Equities (Australia) Limited	30-Oct-20	3,959.0 AUD		-123,000 Common Stock
Credit Suisse Equities (Australia) Limited	30-Oct-20	3,625.0 AUD		125,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Nov-20	1,450.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Nov-20	3,847.50 AUD		142,500 Common Stock
Credit Suisse Equities (Australia) Limited	3-Nov-20	480.0 AUD		-16,000 Common Stock
Credit Suisse Equities (Australia) Limited	3-Nov-20	580.0 AUD		-20,000 Common Stock
Credit Suisse Equities (Australia) Limited	3-Nov-20	41,073.84 AUD		-1,385,305 Common Stock
Credit Suisse Equities (Australia) Limited	3-Nov-20	4,064.31 AUD		150,530 Common Stock
Credit Suisse Equities (Australia) Limited	3-Nov-20	1,350.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Nov-20	191,459.83 AUD		-7,000,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Nov-20	13,426.84 AUD		-464,438 Common Stock
Credit Suisse Equities (Australia) Limited	4-Nov-20	3,625.0 AUD		-125,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Nov-20	44,304.09 AUD		1,582,289 Common Stock
Credit Suisse Equities (Australia) Limited	4-Nov-20	115,815.0 AUD		4,015,000 Common Stock
Credit Suisse Equities (Australia) Limited	5-Nov-20	15,410.03 AUD		-570,742 Common Stock
Credit Suisse Equities (Australia) Limited	5-Nov-20	7,911.81 AUD		-293,030 Common Stock
Credit Suisse Equities (Australia) Limited	5-Nov-20	1,400.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	6-Nov-20	18,960.77 AUD		-665,290 Common Stock
Credit Suisse Equities (Australia) Limited	6-Nov-20	77,061.85 AUD		2,780,147 Common Stock
Credit Suisse Equities (Australia) Limited	6-Nov-20	16,851.0 AUD		603,607 Common Stock
Credit Suisse Equities (Australia) Limited	9-Nov-20	36,490.0 AUD		-1,302,857 Common Stock
Credit Suisse Equities (Australia) Limited	10-Nov-20	15,878.66 AUD		-570,000 Common Stock
Credit Suisse Equities (Australia) Limited	10-Nov-20	806.29 AUD		30,418 Common Stock

Credit Suisse Equities (Australia) Limited	10-Nov-20	1,646.0 AUD		60,963 Common Stock
Credit Suisse Equities (Australia) Limited	11-Nov-20	37,800.0 AUD		-1,400,000 Common Stock
Credit Suisse Equities (Australia) Limited	11-Nov-20	540.0 AUD		-20,000 Common Stock
Credit Suisse Equities (Australia) Limited	11-Nov-20	375,000.0 AUD		15,000,000 Common Stock
Credit Suisse AG, Dublin branch	27-Oct-20	Refer to Annexure B of Notice	Stock borrowed under Master prime brokerage agreement	6,713,000 Common Stock
Credit Suisse AG, Dublin branch	30-Oct-20	Refer to Annexure B of Notice	Stock borrowed under Master prime brokerage agreement	6,713,000 Common Stock
Credit Suisse AG, Dublin branch	2-Nov-20	Refer to Annexure B of Notice	Stock borrowed under Master prime brokerage agreement	6,713,000 Common Stock
Credit Suisse AG, Dublin branch	3-Nov-20	Refer to Annexure B of Notice	Stock borrowed under Master prime brokerage agreement	6,713,000 Common Stock
Credit Suisse AG, Dublin branch	10-Nov-20	Refer to Annexure B of Notice	Stock returned under Master prime brokerage agreement	-2,977,759 Common Stock
Credit Suisse AG, Dublin branch	11-Nov-20	Refer to Annexure B of Notice	Stock returned under Master prime brokerage agreement	-2,977,759 Common Stock

This is Annexure "A" referred to in the Form 603 "Notice of initial substantial holder"

Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	13-Nov-2020

Annexure "B"

This is Annexure "B" referred to in the Form 603 "Notice of initial substantial holder"

Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	13-Nov-2020



Prescribed information**Schedule**

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Atlantic Absolute Return Fund
Transfer date	11-Nov-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.